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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/266,237
	Filing Date	March 10, 1999
	First Named Inventor	WARREN M. FARNWORTH
	Art Unit Number	2829
	Examiner Name	KORBERT, R.
Total Number of Pages in This Submission	Attorney Docket Number	97-1433

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to a Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Return receipt postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Enclosed for filing is an Amendment in response to the Office Action dated November 21, 2002 having a statutory period for response set to expire on February 21, 2003 but extended until April 21, 2003	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual	Stephen A. Gratton, Reg. No. 28,418 THE LAW OFFICE OF STEPHEN A. GRATTON
Signature	
Date	April 18, 2003

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 4/18/2003	
Typed or printed	Stephen A. Gratton
Signature	
Date	4/18/2003

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)**590.00**

Complete if Known

Application Number	09/266,237
Filing Date	March 10, 1999
First Named Inventor	WARREN M. FARNWORTH
Examiner Name	KORBERT, R.
Art Unit	2829
Attorney Docket No.	97-1433

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: **07-1857**

Deposit Account Name: **Stephen A. Gratton**

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE			
Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)		(\$)	

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims		Extra Claims		Fee from below		Fee Paid	
Independent Claims		-20** =		X			
Multiple Dependent		-3** =		X			

Large Entity		Small Entity		Fee Description	
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
1202 18	2202 9	1201 84	2201 42	Claims in excess of 20	
1203 280	2203 140	1204 84	2204 42	Independent claims in excess of 3	
1204 84	2204 42	1205 18	2205 9	Multiple dependent claim, if not paid	
				** Reissue independent claims over original patent	
				** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)		(\$)			

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES				Fee Description	Fee Paid
Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)		
1051 130	2051 65	1052 50	2052 25	Surcharge - late filing fee or oath	
1053 130	1053 130	1812 2,520	1812 2,520	Surcharge - late provisional filing fee or cover sheet	
1804 920*	1804 920*	1805 1,840*	1805 1,840*	Non-English specification	
				For filing a request for ex parte reexamination	
				Requesting publication of SIR prior to Examiner action	
				Requesting publication of SIR after Examiner action	
1251 110	2251 55	1252 410	2252 205	Extension for reply within first month	410
1252 410	2252 205	1253 930	2253 465	Extension for reply within second month	
1253 930	2253 465	1254 1,450	2254 725	Extension for reply within third month	
1254 1,450	2254 725	1255 1,970	2255 985	Extension for reply within fourth month	
1255 1,970	2255 985	1401 320	2401 160	Extension for reply within fifth month	
1401 320	2401 160	1402 320	2402 160	Notice of Appeal	
1402 320	2402 160	1403 280	2403 140	Filing a brief in support of an appeal	
1403 280	2403 140	1451 1,510	1451 1,510	Request for oral hearing	
1451 1,510	1451 1,510	1452 110	2452 55	Petition to institute a public use proceeding	
1452 110	2452 55	1453 1,300	2453 650	Petition to revive - unavoidable	
1453 1,300	2453 650	1501 1,300	2501 650	Petition to revive - unintentional	
1501 1,300	2501 650	1502 470	2502 235	Utility issue fee (or reissue)	
1502 470	2502 235	1503 630	2503 315	Design issue fee	
1503 630	2503 315	1460 130	1460 130	Plant issue fee	
1460 130	1460 130	1807 50	1807 50	Petitions to the Commissioner	
1807 50	1807 50	1806 180	1806 180	Processing fee under 37 CFR 1.17(q)	180
1806 180	1806 180	8021 40	8021 40	Submission of Information Disclosure Stmt	
8021 40	8021 40	1809 750	2809 375	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	1810 750	2810 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	1801 750	2801 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	1802 900	1802 900	Request for Continued Examination (RCE)	
1802 900	1802 900			Request for expedited examination of a design application	
Other fee (specify)					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)		(\$)		590	

SUBMITTED BY		(Complete if applicable)	
Name (Print/Type)	Stephen A. Gratton	Registration No. (Attorney/Agent)	28,418
Signature		Telephone	303 989 6353
		Date	4/18/2003

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